

OLIGOCENE FOSSIL WINGED FRUITS OF TRIBE *ENGELHARDIEAE* (JUGLANDACEAE) FROM THE NINGMING BASIN OF GUANGXI PROVINCE, SOUTH CHINA

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Abstract - Two new types of fossil winged fruits of tribe *Engelhardieae* (*Juglandaceae*) are recognized in the Oligocene sediment from the Ningming Basin of Guangxi Province, south China, *Palaeocarya guangxiensis* sp.nov., and *Alfaropsis koreanica* (Oishi) Iljinskaya. The *Alfaropsis koreanica* fossils reported here are from the lowest latitude yet documented for the Oligocene. These fossils augment previously described engelhardioid fruit species from the same locality, *Palaeocarya guangxiensis* Li et Chen and *Palaeocarya ningmingensis* Li et Chen providing additional evidence for diversity of *Juglandaceae* in the Paleogene of South China, and new data for the investigation of the origin and evolution and paleobiogeographical history of the juglandaceous tribe *Engelhardieae*.

Keywords: *Palaeocarya*; *Alfaropsis*; *Engelhardieae*; *Juglandaceae*; winged fruit fossil; Oligocene; Ningming Basin; Guangxi; South China

I. INTRODUCTION

The *Engelhardieae* (*Juglandaceae*) consists of four extant genera (*Alfaroa*, *Engelhardia*, *Oreomunnea* and *Alfaropsis*), and the fossil fruit record allows recognition of four extinct genera (*Paleooreomunnea*, *Paraengelhardtia*, *Beardia*, and *Casholdia*), and one “morphogenus” (*Palaeocarya*) (Dilcher et al., 1976; Manchester, 1987; Iljinskaya, 1993; Elliott et al., 2006; Stone, 2010). These genera can be distinguished by their fruit morphology including features of the nut, style, and bract development. Among the extant genera, *Engelhardia*, *Oreomunnea*, and *Alfaropsis* have their primary bract enlarged as a trilobed dispersal wing. The genus *Alfaropsis* which was proposed by Iljinskaya (1993), and accepted as a distinct genus in subsequent phylogenetic investigations of the *Juglandaceae* (Manos et al., 2007; Stone, 2010), is similar to the larger genus, *Engelhardia*. Each lobe of the trilobate wing of *Engelhardia* and *Alfaropsis* fruits has pinnate venation, but those of *Alfaropsis* also have a tendency to possess some additional radiating veins from the base of the wing (Iljinskaya, 1993). Phylogenetically, *Alfaropsis* is considered sister to the *Oreomunnea* and *Alfaroa* clade, while *Engelhardia* is sister to all other *Engelhardioideae* genera (Manos et al., 2007; Stone, 2010). *Alfaropsis* was based on species that were formerly attributed to *Engelhardia*, i.e. *Engelhardia roxburghiana* and *Engelhardia fenzelii* (Iljinskaya, 1993; Manos and Stone, 2001; Manos et al., 2007). The extant species of *Engelhardia* are placed in two sections: *E. sect. Psilocarpeae* and *E. sect. Engelhardia*,

including four species that now live in south China (Kuang and Lu, 1979; Lu et al., 1999).

Palaeocarya, a morphogenus founded originally by Saporta (1873), was emended by Manchester (1987) based on the uncertainty of distinguishing fossil winged fruits that display characters of both extant New World genus *Oreomunnea* and the Old World genus *Engelhardia*. Manchester (1987 p. 47) emended the generic diagnosis to include fossils with lobe venation “variable from simple pinnate to “tri-veined” (consisting of midvein and prominent ascending lateral veins).” Two sections were proposed for the genus and they can be distinguished by the venation pattern of the lobes. *Palaeocarya* sect. *Palaeocarya* emend. Manchester has lobes with triveined lobe architecture, and *Palaeocarya* sect. *Monocosta* emend. Manchester has lobes with simple pinnate venation. Iljinskaya (1994) argued that the recognition of sect. *Monocosta* was unnecessary because such fossils usually can be placed with confidence based on fruit wing morphology and venation in the extant genera *Engelhardia* or *Alfaropsis*.

Two species of *Palaeocarya* fossils belonging to *Palaeocarya* sect. *Monocosta* were previously recognized from the Oligocene Ningming Flora of southwestern Guangxi Province (Li et al., 2003). Here we recognize a third distinct species based on additional specimens from the same site. The Oligocene Ningming Formation yields abundant well-preserved plant and fish fossils (Li et al., 2003; Chen et al., 2004, 2005; Kuang et al., 2004; Chen and Chang, 2011).

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However, only a few plant megafossil species have been formally described and published (Li et al., 2003; Chen and Zhang, 2005; Shi et al., 2010, 2011, 2012; Song et al., 2014; Wang et al., 2014). Angiosperms dominate the flora, but gymnosperms and ferns are also present. The plant megafossils include Juglandaceae, Lauraceae, Leguminosae, Aceraceae, Betulaceae, Fagaceae, Hamamelidaceae, Ulmaceae, Palmae, Pinaceae, Taxodiaceae, Osmundaceae, and others.

II. MATERIALS AND METHODS

The winged fruits were found together with various fossil leaf remains from Ningming flora in 2002. The flora is from a section of the Ningming Formation (Ning et al., 1994) at the Gaoling village in Ningming County, Guangxi Province, South China (22°07.690'N, 107°02.434'E). The plant bearing strata are a set of lacustrine deposits, mainly consisting of gray, dark gray mudstone intercalated with slightly yellow shaly siltstone and fine-grained sandstone (Li et al., 2003). Because no volcanic material has been discovered at the locality, a radiometric dating was not possible. Palynostratigraphic correlation indicates that the Ningming Basin includes Oligocene and probably late Eocene sediment, and the Ningming Formation is Oligocene in age (Wang et al., 2003). The specimens described in this paper are stored at the Guangxi Museum of Natural History, Nanning, China.

III. DESCRIPTION OF FOSSILS

Phylum: Angiospermae

Class: Dicotyledonae

Subclass: Rosidae

Order: Fagales

Family: Juglandaceae de Candolle ex Perleb, 1818

Genus: *Palaeocarya* Saporta, 1873, emend. Manchester, 1987

Palaeocarya gaolingensis Yun-Fa Chen, sp. nov.

Holotype: NHMG011623, designated here (Fig.1)

Paratype: NHMG011624 (Fig.2)

Repository: Guangxi Museum of Natural History, China

Type locality: Ningming County, Guangxi Province, China (22°07.690'N, 107°02.434'E)

Stratigraphic horizon: Ningming Formation, Oligocene

Etymology: Species name referring to the occurrence of the fossils at Gaoling village

Diagnosis: Trilobate winged fruits; median lobe obovate, lobe apex rounded to retuse; lateral lobe oblong; midvein very strong and straight, terminating at the lobe apex with a mucronate thickening; one pair of first secondary veins of each lobe diverging from the extreme base of the midvein at very narrow angles; strong and prominent secondary veins, relatively few; nutlet small; pedicel long.

Description: Fruit wing trilobed; central lobe obovate, 23-34 mm long and 3-10 mm wide; lateral lobes oblong, 14-20 mm long and 3-6 mm wide, diverging from the central lobe at 35°-50°; margin of lobes entire, apex rounded to slightly retuse and mucronate. Venation of lobes pinnate; midvein very strong, straight, extending to the lobe apex and forming a mucro. Secondary veins strong, 4-6 pairs per lobe; first pair of secondary veins of each lobe diverging from the extreme base of the midvein at very acute angles (10-15°), ascending along the margin about 1/3 to 2/3 of the lobe length before joining coalesced adjacent secondary loops; other secondary

veins brochidodromous, diverging from the midvein at angles of 35-70°, the angles decreasing from the apex to the base. Tertiary veins prominent and irregular in course; quaternary veins fine, anastomosing and forming meshes. Prophyllum not observed, nutlet not well preserved; detachment scar of one nutlet in one specimen small, about 2 mm in diameter; pedicel relatively long (7 mm).

Comparison and discussion: Fruits of extant *Oreomunnea* from the New World tropics, and of *Engelhardia* and *Alfaropsis*, from the Old World tropics, are all characterized by relatively large trilobed bracts that aid in dispersal of the nut. The nuts of *Engelhardia* and *Alfaropsis* species are partitioned into 2 or 4 compartments at the base, while those of *Oreomunnea* species have 8 basal compartments (Stone, 1972, 2010; Xie et al., 2010). However, the nuts are not preserved in the specimens at hand, so we are uncertain of the number of partitions. Lacking the information to place these fruits securely in an extant genus, we consider it best to assign the species to *Palaeocarya*.

The species is distinguishable from *Oreomunnea* whose winged fruits have three prominent primary veins in each lobe, and differs from extant *Engelhardia* species that have a strictly pinnate venation pattern in each of the three lobes.

The fossil is similar to the extant species *Alfaropsis roxburghiana* (formerly *Engelhardia roxburghiana*) in having weak ascending veins radiating from the base of the lobes, giving a venation pattern somewhat intermediate between *Engelhardia* and *Oreomunnea*. However, the fossil species is distinct because its midvein doesn't reach to the lobe apex but divides to form marginal looping series of two orders (Tanai and Uemura, 1983; Guo and Zhang, 2002). As for the small angle between midvein and first secondary vein in the lobe, this species is comparable to *Alfaropsis koreanica*, but this species is characterized by its very strong midvein, strong and prominent secondary veins, obovate median lobe, rounded to retuse lobe apex. *P. guangxiensis* and *P. ningmingensis* previously reported from the same locality have typically pinnate venation in the lobes (Li et al., 2003), which differ from the current specimens. The specimens are distinguished from the previously reported species (see Table 1). A new species under *Palaeocarya* is therefore proposed: *Palaeocarya gaolingensis*

Alfaropsis koreanica (Oishi) Iljinskaya 1993 (Fig.3)

Description: One specimen represented by part (NHMG 011625) and counterpart (NHMG011626) (Fig.3). Fruit wing trilobed; central lobe longer than lateral ones, oblanceolate, 34 mm long and 4-6 mm wide; lateral lobes oblanceolate to narrowly elliptic, 20 mm long and 5-7 mm wide, diverging from the central lobe at 50°-60°; margin of lobes entire, apex obtuse. Venation of lobes pinnate; midvein strong, nearly straight, ending at the lobe apex with a mucronate thickening. Secondary veins fine yet prominent, 9 pairs in the central lobe; the first pair of secondary veins of each lobe diverging from the extreme base of the midvein at very acute angles (about 15°), ascending along the margin about 1/3 to 1/2 of the lobe length before joining coalesced adjacent secondary loops; other secondary veins brochidodromous, diverging from the midvein at 35-55°, angles gradually decreasing from the apex to the base. Tertiary veins irregular in course; quaternary veins anastomosing and forming meshes. Prophyllum and nutlet not present; detachment scar of

nutlet about 1 mm in diameter. Pedicel present, about 10 mm long and 1 mm wide.

Discussion: In gross fruit wing morphology, the specimens presented here are somewhat similar to the fruits of *Palaeocarya guangxiensis* Li et Chen from the same horizon. They differ in that the first pair of secondary veins of the middle lobe diverges from the extreme base of the midvein at distinct acute angles (about 15°), appearing to be similarly tri-veined, however, the upper 2/3s of the middle lobe possesses pinnate venation. The fruit wing lobes of *Palaeocarya guangxiensis* Li et Chen have pinnate venation, and thus may conform to the extant genus *Engelhardia*. The current specimens resemble the fossils *Engelhardtia koreanica* Oishi (later transferred to *Alfaropsis koreanica* Iljinskaya) recorded in East Asia (Oishi, 1936; Akhmeteyev and Bratzeva, 1973; Iljinskaya 1994). That species was also assigned by Manchester (1987) to the genus *Palaeocarya*. Following Iljinskaya's arguments, we adopt the binomial *Alfaropsis koreanica*. The long stout petiole is a distinctive feature shared by both *Alfaropsis koreanica*, and *Palaeocarya gaolingensis*. Although the authors (Li and Chen, 2003) designated several specimens collected from the same locality as syntypes for the species *Palaeocarya guangxiensis* and *P. ningmingensis*, the International Code of Botanical nomenclature requires a single specimen (holotype) as the type for a species. In order to validate these species, lectotype designations are now required. For *Palaeocarya guangxiensis*, we hereby designate the lectotype as NHMG006014 (Li and Chen, 2003, pl.1, fig.8; text fig. 1) and for *Palaeocarya ningmingensis*, the lectotype is here designated as NHMG 005998 (Li and Chen, 2003, pl.1, fig.6; text fig.7).

In summary, we now recognize four species of engelhardioid fruits from the Ningming flora, i.e. *P. guangxiensis* and *P. ningmingensis* (Both very similar to extant *Engelhardia* in wing venation), and, *A.koreanica* and *P.gaolingensis* (both similar to extant *Alfaropsis*) (see Table 1). They are distinguished by venation patterns, lobe shape, nutlet and pedicel length.

IV. DISCUSSION

Engelhardioid fruit fossils have been found well outside their modern geographic ranges in strata from the Eocene to the Pliocene in Europe (Jähnichen et al., 1997; Fischer and Butzmann, 2006; Bertini and Martinetto, 2008) and from the Eocene to Miocene in North America (Dilcher et al., 1976; Manchester, 1987, 1994), as well as Asia (Xie et al., 2010).

In Asia, engelhardioid fossils were reported from Korea, Far East Russia, Japan and China. The species is *Alfaropsis koreanica* (=formerly *Engelhardtia koreanica*) from the late Oligocene Kogonweon Formation in Kogonweon in North Korea (Oishi, 1936; Huzioka, 1972; Tanai and Uemura, 1983), from late Oligocene Sikhote-Alin flora in Far East Russia (Akhmeteyev and Bratzeva, 1973), and from middle-late Oligocene Wakamatsuzawa Formation in Kitami and Poronai Formation in Yubari in Japan (Tanai and Uemura, 1983; Tanai, 1992). In China, two specimens of an undetermined *Palaeocarya* species were recovered from the Eocene Changchang Formation in Qiongshan County of Hainan Province (Jin, 2009); three specimens of *P. koreanica* from Oligocene Sanhe Formation in Longjing County of Jilin Province (Guo et al., 2002); numerous specimens of *Palaeocarya* from Oligocene Ningming Formation in Ning-

ming County of Guangxi Province (Li et al., 2003); six specimens of *Palaeocarya* from upper Pliocene Mangbang Formation in Tenchong County of Yunnan Province (Xie et al., 2010).

Besides these two species of tribe *Engelhardieae* (Juglandaceae) presented in this paper, we have previously reported two *Palaeocarya* sect. *Monocosta* fossil species: *Palaeocarya guangxiensis* Li Hao-Min et Chen Yun-Fa and *Palaeocarya ningmingensis* Li Hao-Min et Chen Yun-Fa on the basis of numerous fossil specimens from the same locality as our new species (Li et al., 2003). Considering the diversity of the *Engelhardieae* species and abundance of fossils, particularly *Palaeocarya guangxiensis* (Li et al., 2003), we propose that Ningming was a center of evolution and differentiation of *Engelhardieae* in late Eocene/Oligocene in South China. Today this region, and Southeast Asia, is the distribution center of the related modern genera *Engelhardia* and *Alfaropsis*.

In China, *Alfaropsis koreanica* fossils occur in two other localities: the Oligocene sediments in Jilin Province in northeastern China (41°29'30"N, 129° 43'20"E) (Guo and Zhang, 2002) and the Pliocene strata in Yunnan Province in south China (24° 41' 13"N, 98° 37' 59"E) (Xie et al., 2010). The *Alfaropsis koreanica* fossils reported in this paper are from the lowest latitude, indicating that the species had a wide latitudinal range in the Oligocene, and that the genus survived in the southern end of its range despite the effects of Paleogene to Neogene climate change (Zachos et al., 1994, 1996, 2001; Mosbrugger et al., 2005).

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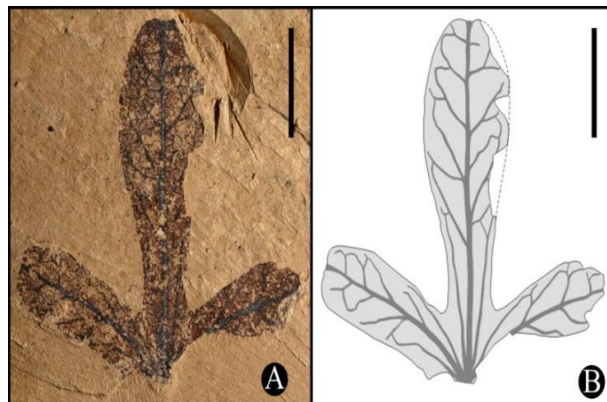


Fig.1. Holotype specimen of *Palaeocarya gaolingensis* Yun-Fa Chen, sp. nov. Specimen (NHMG011623)(A) and its sketch(B) showing lobes and major venation, currently preserved in the Guangxi Museum of Natural History, China. Scale bar = 1 cm.

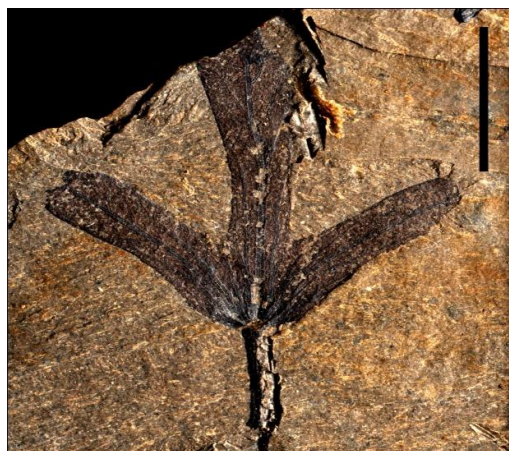


Fig.2. Paratype specimen of *Palaeocarya gaolingensis* Yun-Fa Chen, sp. nov. Specimen (NHMG 011624), currently preserved in the Guangxi Museum of Natural History, China. Scale bar = 1 cm.

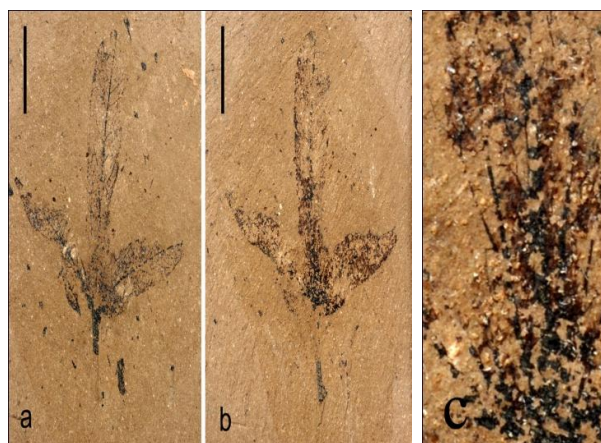


Fig.3. *Alfaropsis koreanica* (Oishi) Iljinskaya 1993. Winged fossil fruit part (a) and counterpart (b) of the specimen (NHMG011625 and NHMG011626, currently preserved in the Guangxi Museum of Natural History, China. Scale bar = 1 cm). Enlargement (c) showing first pair of secondary vein in the median lobe of the specimen counterpart (b).

Table 1. Comparisons of lobe venation and pedicel between selected species

Species	major lobe venation	midvein strength	midvein reaching apex	radiating veins from base of bract	pedicel length (mm)	other observations
<i>P. gaolingensis</i> sp. nov.	typically combining pinnate and triveined	very strong	yes	yes	7	secondary veins strong and few
<i>P. guangxiensis</i> Li et Chen	pinnate	strong	yes	no	2.5-6	secondary veins fine, brochidodromous
<i>P. ningmingensis</i> Li et Chen	pinnate	moderate	yes	no	unknown	secondary veins fine, eucamptodromous in the lower part, brochidodromous in the middle and upper parts of the lobe
<i>A. koreanica</i> (Oishi) Iljinskaya	pinnate	strong	yes	yes	up to 10	Secondary veins fine, a pair of thin basal veins follow a very acute angle to the primary vein
<i>A. roxburghiana</i>	pinnate	strong	no	yes	2-8	Secondary veins fine
<i>Oreomunnea</i> extant species	triveined	up to very strong	yes or no	yes	2-4	
<i>Engelhardia</i> extant species	pinnate	up to strong	yes or no	no	0-2	